

FORM PTO-1449	SERIAL NO. 09/826,671	CASE NO. 10745/10
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE April 5, 2001	GROUP ART UNIT
(use several sheets if necessary)		APPLICANT(S): Akira Shibutani

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1					
	A2					
	A3					
	A4					

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	A5					
	A6					

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
mm	A7	F. Adachi et al., "Wideband DS-CDMA for Next-Generation Mobile Communications Systems", IEEE Communication Magazine, vol. 36, pp. 56-69, September 1998
mm	A8	3 <sup>rd</sup> Generation Partnership Project 2, "cdma 2000 High Rate Packet Data Air Interface Specification", 3GPP2 C.S0024, September 12, 2000.
mm	A9	A. Jalali et al., "Data Throughput of CDMA-HDR a High Efficiency-High Data Rate Personal Communication Wireless System", IEEE VTC2000-Spring, pp.1854-1858, Tokyo, May 2000
mm	A10	T. Ue et al., "Symbol Rate and Modulation Level-Controlled Adaptive Modulation/TDMA/TDD System for Personal Communication Systems", IEEE Vehicular Technology Conference 0098-3551, vol. 45/V1, pp. 306-310, July 1995
mm	A11	S. Sampei et al., "Adaptive Modulation/TDMA Scheme for Large Capacity Personal Multi-Media Communication Systems", IEICE Trans. Commun., vol. E77-B, No. 9, September 1994
mm	A12	A. Yamaguchi et al., "Forwardlink Packet Scheduler for Packet Data System", IEICE General Conference, March 26-29, 2001
mm	A13	A. Fujiwara et al., "Link Adaptation Method Using Fading Frequency for High-Speed Packet Transmission with Adaptive Modulation and Coding Scheme in DS-CDMA Mobile Radio", IEICE, The 2000 Communications Society Conference, B-5-79, p. 367, September 30 - October 3, 2000
mm	A14	K. Higuchi et al., "Throughput Performance of High-Speed Packet Transmission Using Multi-Level Modulation in W-CDMA Forward Link", IEICE, The 2000 Communications Society Conference, SB-11-7, pp. 531-532, September 30 - October 3, 2000
mm	A15	N. Kinoshita et al., "Field Experiments on 16QAM/TDMA and Trellis Coded 16QAM/TDMA Systems for Digital Land Mobile Radio Communications", IEICE TRANS. COMMUN., Vol. E77-B, No. 7, July 1994

EXAMINER <i>Melvin Marcelo</i>	DATE CONSIDERED <i>09-30-2004</i>
-----------------------------------	--------------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449	SERIAL NO. 09/826,671	CASE NO. 10745/10
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE April 5, 2001	GROUP ART UNIT 2681
APPLICANT(S): Akira SHIBUTANI		

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B					
	B					
	B					
	B					
	B					
	B					
	B					

RECEIVED

JAN 06 2004

Technology Center 2600

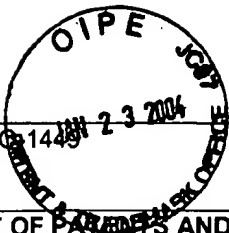
## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
mm	B1	JP2003-508992 A	03/04/2003	Japan	—	Yes
mm	B2	JP2000-059400 A	02/25/2000	Japan	—	Yes
mm	B3	WO 99/09779 A1	02/25/1999	WIPO	—	
mm	B4	WO 01/17311 A1	03/08/2001	WIPO	—	
	B					
	B					
	B					
	B					

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	B	
	B	
	B	
	B	
	B	
	B	

EXAMINER Melvin Marcelo	DATE CONSIDERED 09-30-2004
----------------------------	-------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO 1449	SERIAL NO. 09/826,671	CASE NO. 10745/10 Client Case No. PA-005
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE April 5, 2001	GROUP ART UNIT 2663
(use several sheets if necessary)		APPLICANT(S): Akira Shibutani

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
mm	C1	5,914,950	06/1999	Tiedernann, Jr. et al.	—	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
mm	C2	EP 0 986 282 A1	03/2000	EPO	—	
mm	C3	EP 0 975 190 A2	01/2000	EPO	—	

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	

RECEIVED

JAN 28 2004

Technology Center 2600

EXAMINER Melvin Marcelo	DATE CONSIDERED 09-30-2004
----------------------------	-------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.